

# Self-Organised Groups Produce Cooperation in Commons Dilemmas

David Hales, University of Szeged  
[www.davidhales.com](http://www.davidhales.com)

For more details and references see paper:  
<http://davidhales.com/papers/complex2012.pdf>

*Szeged, Tuesday, March 12th*

# Hello!

- Who am I?
- What am I doing here?
- Why am I interested in this stuff I am going to talk about?

# Big questions

- Human societies appear pervaded by groups.  
Often show in-group pro-social behavior
- New groups form, old groups dissolve.
- How can this be understood from the point of view of individuals who comprise those groups?
- How do *selfish* agents come to form groups that are not internally selfish?
- Individualism v. Collectivism debate (morality?)
- The origins of virtue – Matt Ridley 1996
- Group selection? Cultural group selection?
- Program systems with computational social theory?

# Quotes

*“There can be no doubt that a tribe including many members who.. were always ready to give aid to each other and to sacrifice themselves for the common good, would be victorious over other tribes; and this would be natural selection”*

Darwin, C. (1871) The Descent of Man and Selection in Relation to Sex (Murray, London) 2nd Edition.

# Models or thought experiments?

- Abstract models / artificial societies
- Agent based modeling
- Thought experiments
- Not empirically verified / or applied
- Relax assumptions of traditional game theory / rational action approach
- Copying (replication) and limited innovation (mutation)  
=> cultural evolution?
- “Emergent” macro outcomes
- Focus on social dilemma / public goods type scenarios

# Assumptions

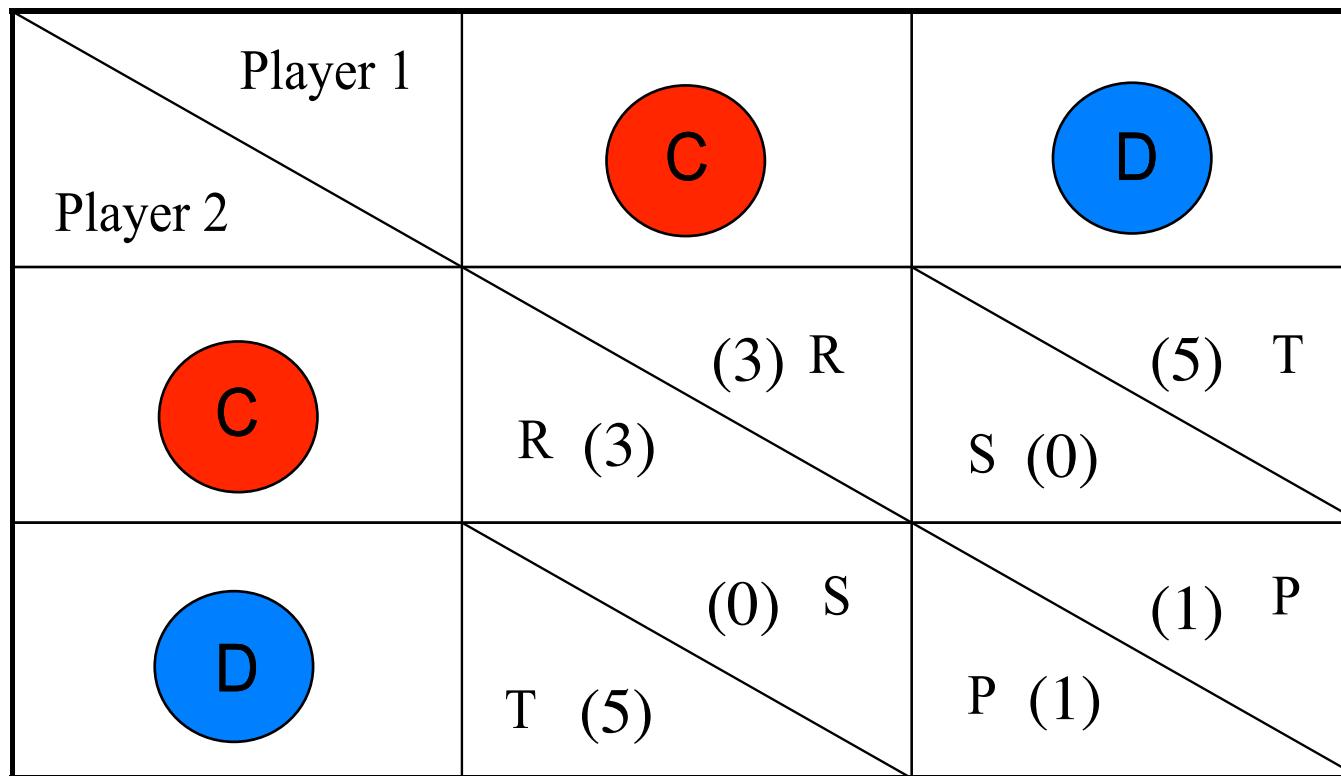
- Agents interact producing individual payoffs (e.g. Prisoner's Dilemma game)
- Agent action determined by a trait (e.g. cooperate or defect)
- Agents select interaction partners based on further trait(s) defining an “in-group”
- Traits can be copied and mutated
- Agents copy traits that produce higher individual payoffs
- Evolutionary game theory

# Capturing a commons tragedy with a simple game

- Consider a game composed of two players:
  - each player:
    - has choice of one move (C or D)
    - makes a single move then the game ends
    - does not know how the other will move
    - gets a payoff (or utility) based on how they moved and how the other player moved
  - for certain payoff values this game can, minimally, capture a form of commons tragedy (or dilemma)
  - a classic such game is called the *Prisoner's Dilemma*

# The Prisoner's Dilemma - “payoff matrix”

Game is a PD when:  $T > R > P > S$  and  $2R > T + S$

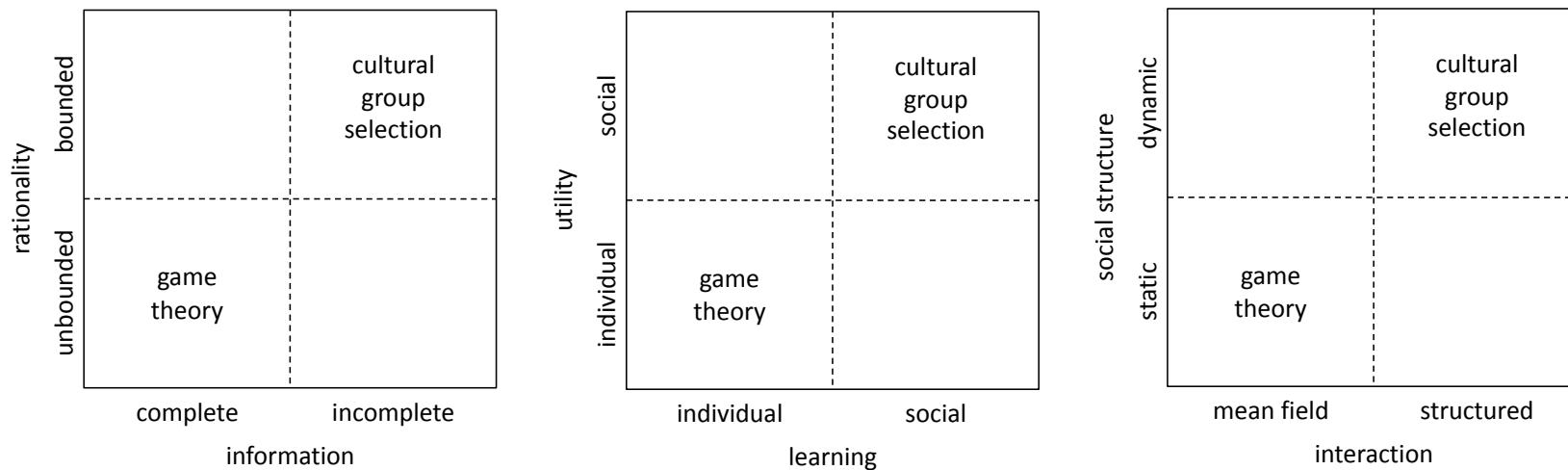


# The Prisoner's Dilemma - example games

Players =>	P1   P2	P1   P2	P1   P2	P1   P2
Moves =>	C   C	C   D	D   C	D   D
Payoffs =>	R   R	S   T	T   S	P   P
Values =>	3   3	0   5	5   0	1   1
Total =>	6	5	5	2

A contradiction between collective and individual interests

# Game theory v. these models



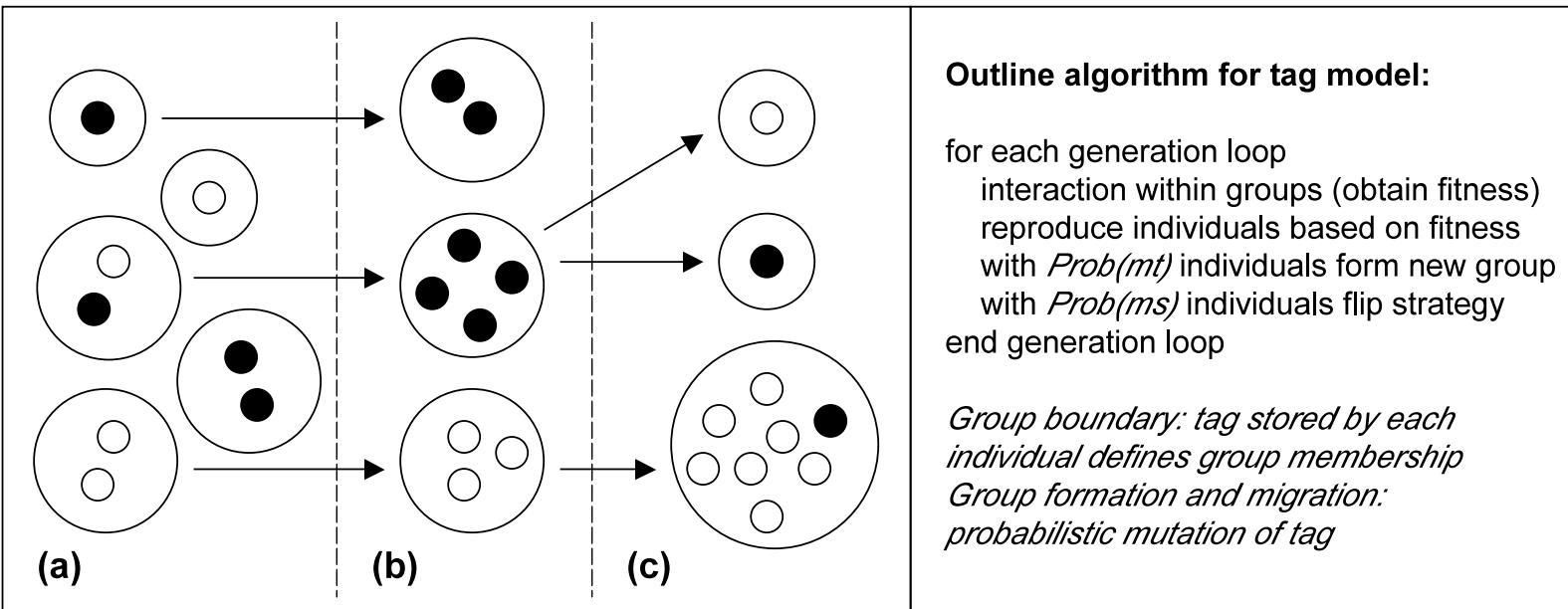
Six qualitative dimensions distinguishing traditional game theory models and many cultural group selection models

# Group Selection Models

- Recent models of “evolutionary / cultural group selection”
- Based on individual selection
- Producing dynamic social structures
- Limit free-riding
- Increasingly group-level performance
- Don’t require reciprocity

# Evolutionary / cultural Group Selection Models

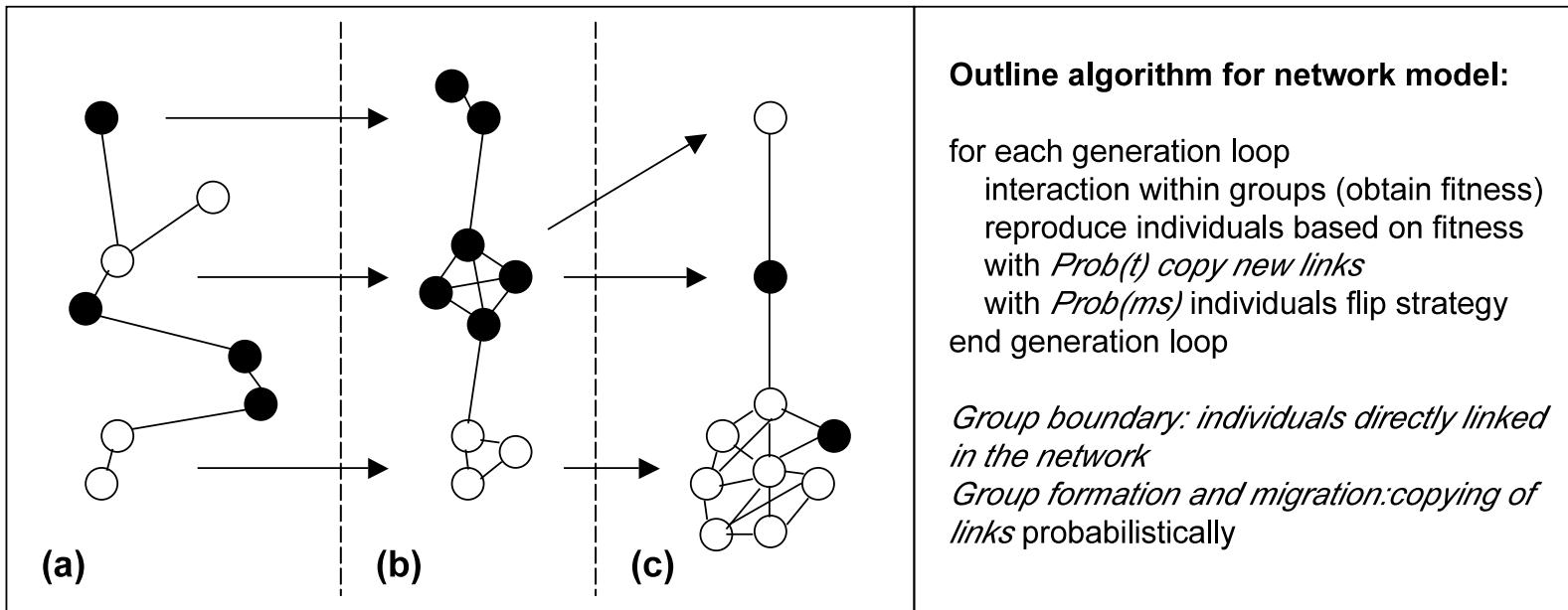
- Group boundary - a mechanism which restricts interactions between agents such that the population is partitioned into groups
- Group formation - a process which forms groups dynamically in the population
- Migration - a process by which agents may move between different groups
- Conditions - cost / benefit ratio of individual interactions and other conditions which are sufficient for producing group-level selection



*Schematic of the evolution of groups in the tag model.*

*Three generations (a-c) are shown. White individuals are pro-social, black are selfish. Individuals sharing the same tag are shown clustered and bounded by large circles. Arrows indicate group lineage. Migration between groups is not shown. When b is the benefit a pro-social agent can confer on another and c is the cost to that agent then the condition for group selection of pro-social groups is:  $b > c$  and  $mt \gg ms$*

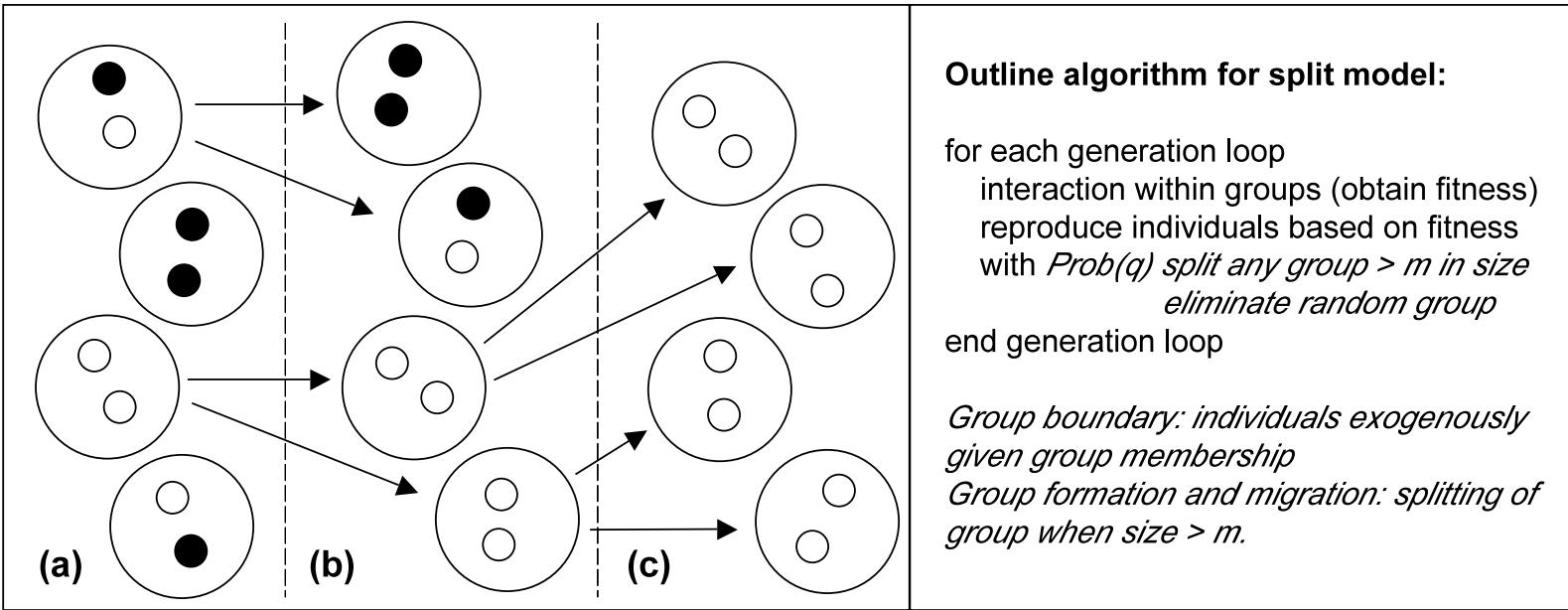
Riolo, Axelrod, Cohen, Holland, Hales, Edmonds...



*Schematic of the evolution of groups (cliques) in the network-rewiring model. Three generations (a-c) are shown. White individuals are pro-social, black are selfish. Arrows indicate group lineage. Altruism selected when  $b > c$  and  $mt \gg ms$ . When  $t = 1$ , get disconnected components, when  $1 > t > 0.5$ , get small-world networks*

Hales, D. & Arteconi, S. (2006) Article: SLACER: A Self-Organizing Protocol for Coordination in P2P Networks. IEEE Intelligent Systems, 21(2):29-35

Santos F. C., Pacheco J. M., Lenaerts T. (2006) Cooperation prevails when individuals adjust their social ties. PLoS Comput Biol 2(10)



*Schematic of the evolution of groups in the group-splitting model. Three generations (a-c) are shown. Altruism is selected if the population is partitioned into  $m$  groups of maximum size  $n$  and  $b / c > 1 + n / m$ .*

Traulsen, A. & Nowak, M. A. (2006). Evolution of cooperation by multilevel selection. *Proceedings of the National Academy of Sciences* 130(29): 10952-10955.

# What are tags

- Tags = observable labels, markings or social cues
- Agents display and can observe tags
- Tags evolve like any other trait (or gene or meme)
- Agents may discriminate based on tags
- John Holland (1992) => tags powerful “symmetry breaking” function in “social-like” processes
- In GA-type interpretation, tags = parts of the genotype reflected directly in the phenotype

# Tag models

- Tags may be bit strings signifying some observable cultural cues
- Tags may be a single real number
- Any distinguishing detectable cue
- Most show cooperation / altruism between selfish, greedy (boundedly rational) agents

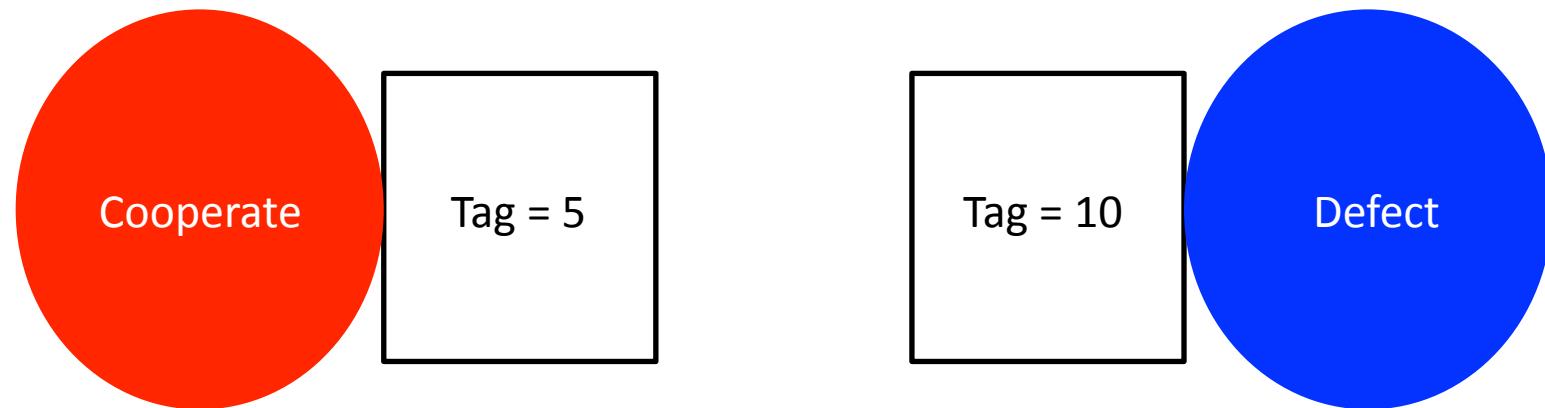
# Tag models

- Riolo et al introduce a tag / tolerance model
- Tolerance is a strategy trait - how close another's tag should be to donate
- Tolerance = 0 means only donate to identically tagged others, Tolerance = 1 donate to all (assuming tags [0..1])
- Tolerance models less explore less strict population structure – random sampling of population through “pairings” parameter
- Shade Shutters – detailed work on these models in combination with space and binary cooperation traits:
- *Shutters, S., Hales, D. (in press) Tag-mediated altruism is contingent on how cheaters are defined. Journal of Artificial Societies and Social Simulation.*

# Tags in the literature

<i>Year</i>	<i>Author(s)</i>	<i>Tag</i>	<i>Type</i>	<i>Model</i>	<i>Interp.</i>	<i>Task</i>	<i>Ref</i>
1993	Holland		general / real no.	none	socio. / bio	IPD	SFI WP
1997	Riolo		real number	bio.	bio.	IPD	SFI WP
2000	Hales		binary string	socio.	socio.	PD	MABS2000
2001	Riolo et al		real number	socio.	socio.	giving game	Nature
2002	Hales		real number	socio.	socio.	specialisation	MABS2002
2003	Hales & Edmonds		binary string	agents	agents	help giving	AAMAS2003
2003	Hales & Edmonds		various	agents	agents	various	ESOA2003
2004	Hales		network links	p2p	p2p	PD	ESOA2004
2004	Hales		network links	p2p	p2p	file-sharing	IEEE p2p2004

# Agents – a tag and a PD Strategy



Tag = (Say) some integer  
Game Interaction between those with same tag (if possible)

# Generic evolutionary algorithm

Initialise all agents with randomly selected strategies

LOOP some number of generations

    LOOP for each agent (a) in the population

        Select a game partner (b) from the population

            select a random partner with matching tag

            Agent (a) and (b) invoke their strategies

                    receiving the appropriate payoff

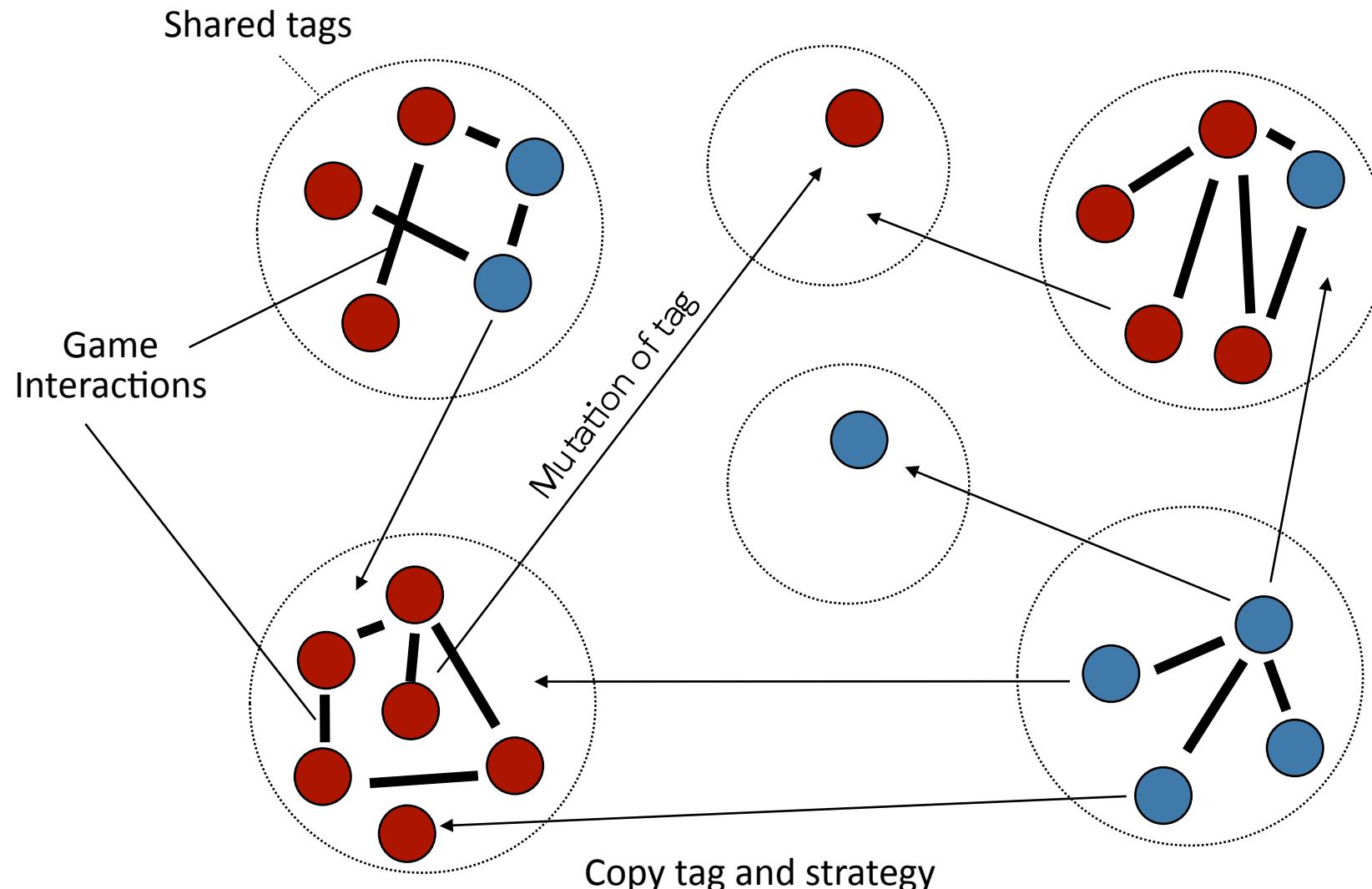
    END LOOP

    Reproduce agents in proportion to their average payoff

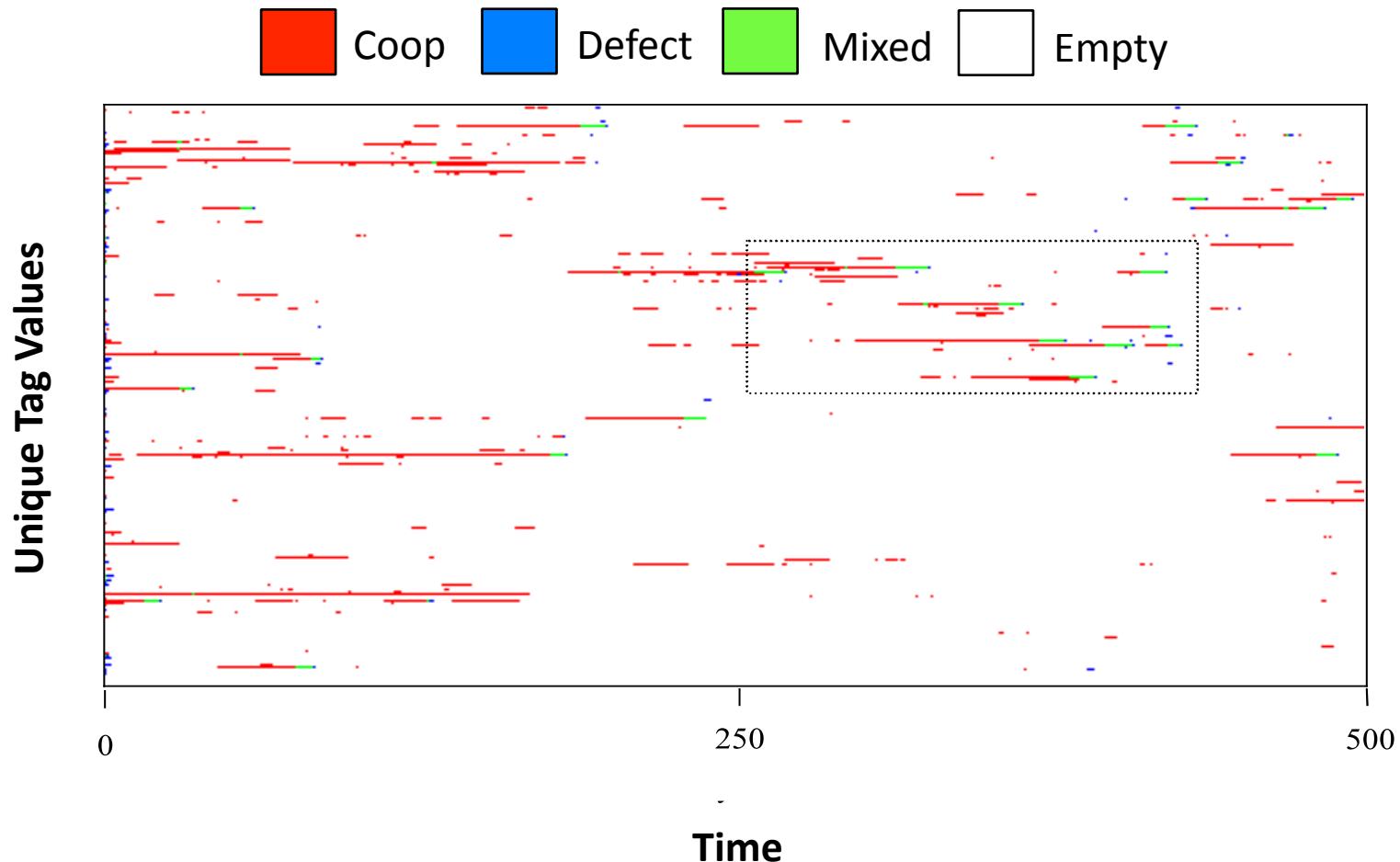
        with some small probability of mutation (M)

END LOOP

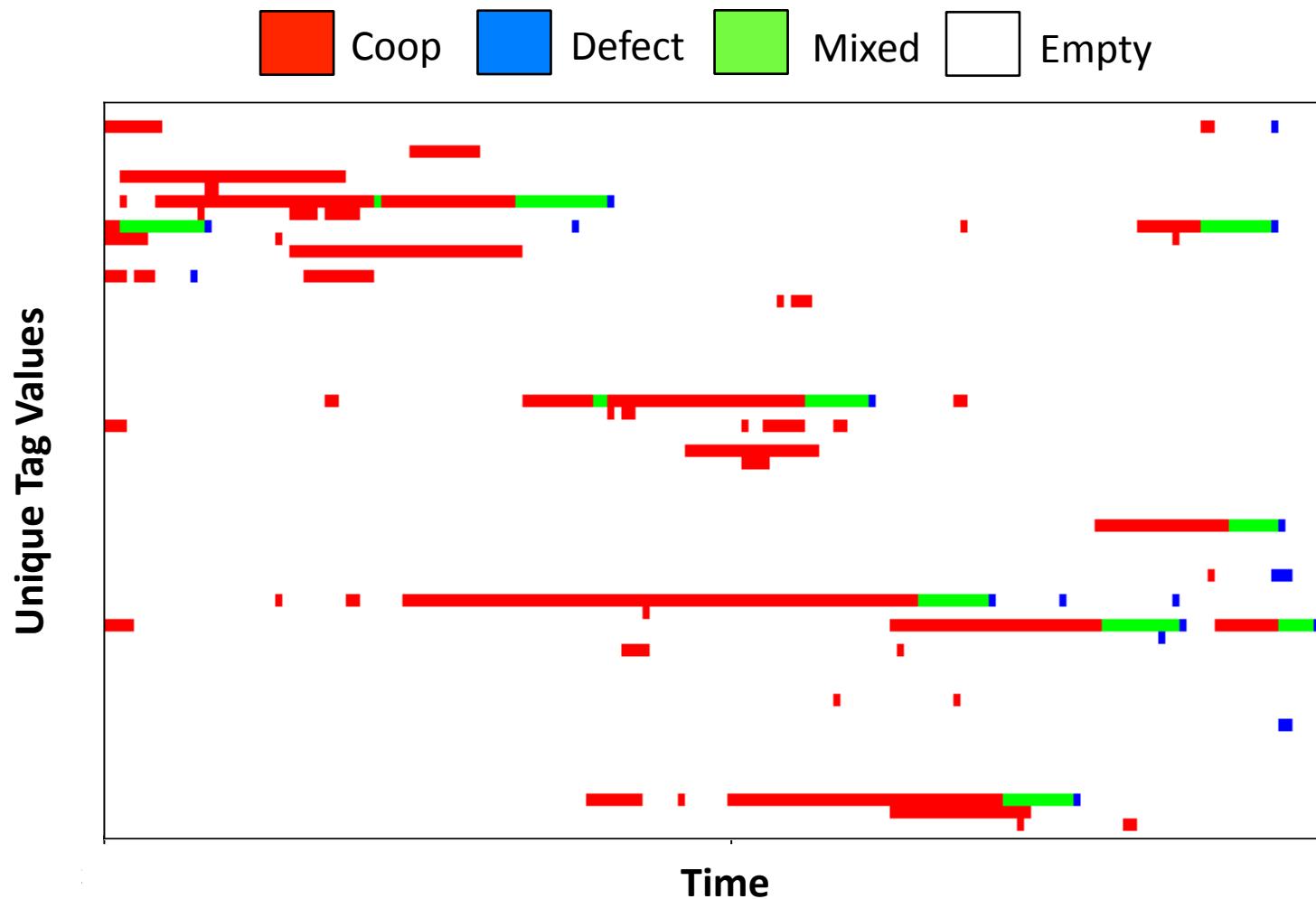
# How tags work



# Visualising the process



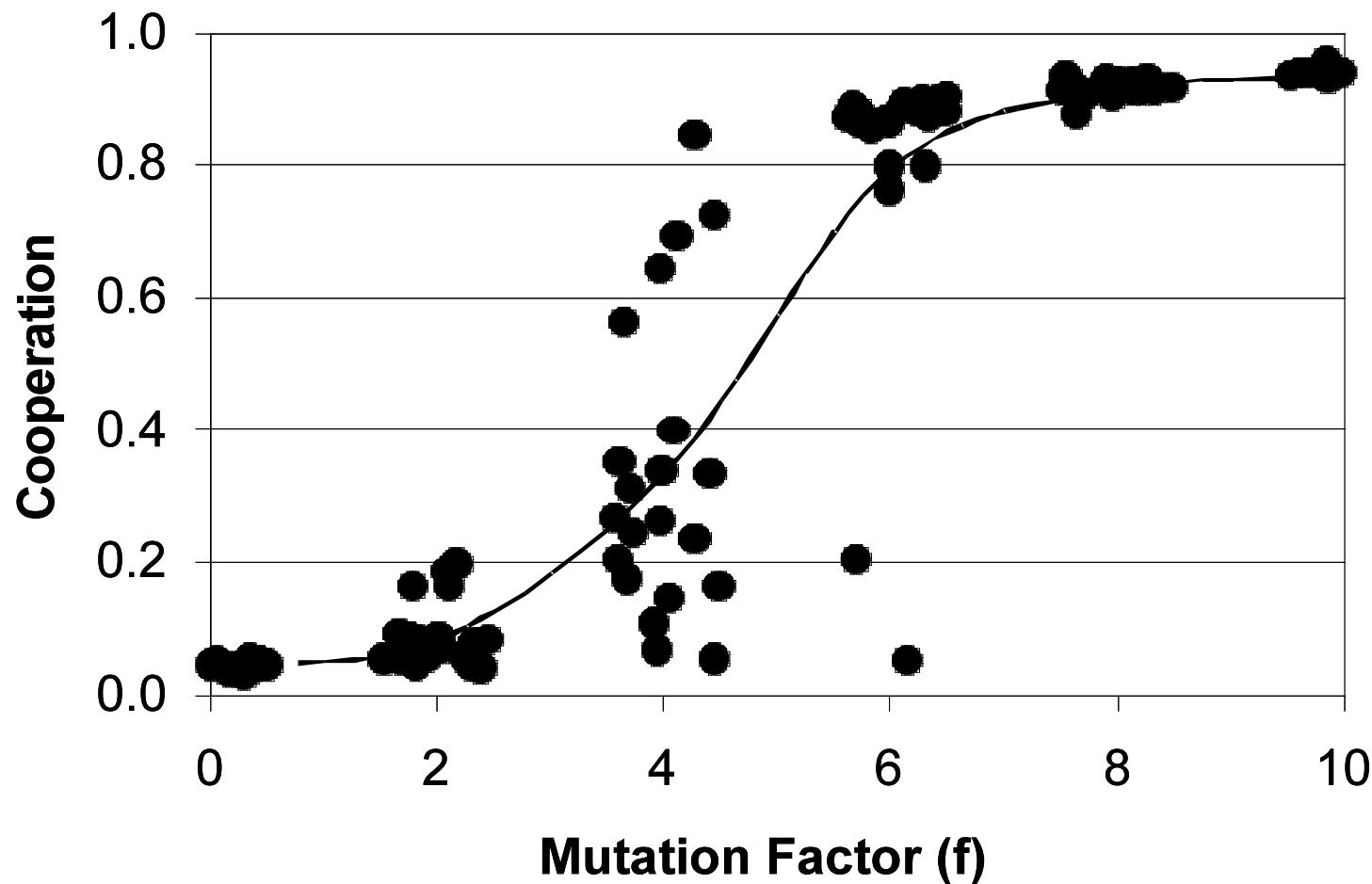
# Visualising the process



# Change your tags fast...

- Groups have to be formed more quickly than they invaded and killed
- New groups are formed by mutation on the tag
- Old groups are killed by mutation on the strategy
- So if tag mutation > strategy mutation this should promote cooperation?
- Test it by looking at the existing models and implementing a new one

# Tag / strategy mutation rate



# Network rewire model

Each node  $p$  periodically performs a game interaction with a randomly chosen neighbor

Each node  $p$  periodically executes the following:

$q = \text{SelectRandomPeer}()$

**If**  $\text{utility}_q > \text{utility}_p$

drop all current links

link to node  $q$  and copy its strategy and links

mutate (with low probability) strategy and links

# Network rewiring movie

# Thoughts

- Simple copying heuristics based on individual utility with social structure => “as if” a motivating force higher than self-interest towards to in-group
- Agents “vote with their feet” by moving to better groups via copying
- History of system important to understand behavior at any given point in time
- Compare some ideas from Ibn Khaldun (14<sup>th</sup> Century)
- But here an interpretation can be not of physical movement but of cultural movement (memetic reproduction)
- Memes are selected that support social interaction structures that perpetuate them
- Proto-institutions linking evolutionary models to some of the work of Olson (rational action) and Ostrom (self-organized social institutions)?

# Any use?

- Can such processes be observed in real systems?  
How could they be measured?
- Models assume the rapid ability to create new groups and free movement between groups – is this valid in real systems?
- Online communities? Ephemeral groups? Twitter tags?
- Can such models be adapted from the abstract to particular scenarios? Vary assumptions?
- Can such processes be used in P2P systems?

# Thank you!

- Questions?